

PATENT 12-16-4

PATENT 12-76-24 81754.0051

## MAPHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HAJI, et al.

Serial No: 09/775,536

Filed:

February 1, 2001

For:

Management Method for Receiving

Orders and Management System for

Receiving Order

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

Art Unit: 2165

Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on

October 11, 2002

Date of Deposit
Shindale Ferguson

Name

Signature

Date

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

## §1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

		§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
		OR FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3.		The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
		§1.97(e) STATEMENT
		I, the person signing below, state:
		that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
		OR
		that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
		AND FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4.		If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.
5.	$\boxtimes$	A list of 6 reference(s) is in the enclosed Form PTO-1449.

## NON-ENGLISH LANGUAGE REFERENCES

Enclosed is a search report for a counterpart application.
 The specification incorporates comments on the relevancy of Non-English language references.
 Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: October 11, 2002

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Registration No. 41,232 Attorney for Applicant(s)

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GROUP 3600

FORM PTO-			Docket Number (Optional) Application Number 09/775,536						
INFO	ORMATION DISCLOSURE	CITATION I		Applicant HAJI, et al.					
OCT 1 5	(little several sheets if necessa	•	Filing Date February	1, 2001	Group Art Ur	Group Art Unit 2165			
TRADE	MARIO	U.S. PATEN	IT D	OCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DA		
	5,937,393	08/10/99	O'LE	ARY, et al.				.,	
	5,960,411	09/28/99	HAR	TMAN, et al.					
	5,715,314	02/03/98	PAY	NE, et al.					
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	11-381239	02/01/00		TW			x		
	OTHER DOCUME	NTS (Including A	utho	r, Title, Date, Pe	rtinent Pages	, Etc.)			
	VOLGER, et al. "The Transaction In Technology Information Technology	iternet Protocol in Transfer Office	Prac	tice; Reliability fo	r WWW Applic	cations" Darmst	adt Universi	ty of	
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.